



Docket No. 434-226

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

THOMAS TOBIN

Serial No.: 09/865,175

Filed: MAY 24, 2001

For: NOVEL LONG ACTING, REVERSIBLE VETERINARY  
SEDATIVE AND ANALGESIC AND METHOD OF USE

LETTER

Assistant Commissioner  
for Patents  
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing parts of Application Filing Date Granted dated July 26, 2001, please find enclosed a copy of the notice of Missing Parts, the signed Declaration, a postcard containing the Patent and Trademark Office mail room stamp and the appropriate Fee Sheet.

Respectfully submitted,

**KING AND SCHICKLI, PLLC**

Warren D. Schickli  
Registration No. 31,057

247 North Broadway  
Lexington, KY 40507  
(859) 252-0889

Certificate of Mailing

I hereby certify that this correspondence  
is being deposited with the United States Postal  
Service as first class mail in an envelope addressed to:  
Assistant Commissioner for Patents, Washington,  
D.C. 20231, on 8/14/01

Date Aug. 14, 2001 Darryl Williams



Approved for use through 10/31/2002. OMB 0651-0032  
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**Patent fees are subject to annual revision.**

**Complete if Known**

Application Number	09/865,175
Filing Date	May 24, 2001
First Named Inventor	Thomas Tobin
Examiner Name	
Group Art Unit	1614
Attorney Docket No.	434-226

**FEE CALCULATION** (continued)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

11-0978

**King & Schickli PLLC**

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
- ☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:  
☐ Check ☐ Credit card ☐ Money Order ☐ Other

### 3. ADDITIONAL FEES

Large Entity	Small Entity
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Fee Code	Fee (\$)	Fee Code	Fee (\$)
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### Fee Description

**Fee Paid**

105	130	205	65	Surcharge - late filing fee or oath
127	50	227	25	Surcharge - late provisional filing fee or cover sheet
139	130	139	130	Non-English specification
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination
112	920*	112	920*	Requesting publication of SIR prior to Examiner action
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action
115	110	215	55	Extension for reply within first month
116	390	216	195	Extension for reply within second month
117	890	217	445	Extension for reply within third month
118	1,390	218	695	Extension for reply within fourth month
128	1,890	228	945	Extension for reply within fifth month
119	310	219	155	Notice of Appeal
120	310	220	155	Filing a brief in support of an appeal
121	270	221	135	Request for oral hearing
138	1,510	138	1,510	Petition to institute a public use proceeding
140	110	240	55	Petition to revive - unavoidable
141	1,240	241	620	Petition to revive - unintentional
142	1,240	242	620	Utility issue fee (or reissue)
143	440	243	220	Design issue fee
144	600	244	300	Plant issue fee
122	130	122	130	Petitions to the Commissioner
123	50	123	50	Processing fee under 37 CFR 1.17(q)
126	180	126	180	Submission of Information Disclosure Stmt
581	40	581	40	Recording each patent assignment per property (times number of properties)
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))
179	710	279	355	Request for Continued Examination (RCE)
169	900	169	900	Request for expedited examination of a design application

Other fee (specify)

<b>SUBTOTAL (3)</b>	<b>(\$)</b>	<b>65.00</b>
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	Large Entity	Small Entity
1. <b>Revenue</b>	More than \$5 million	Less than \$5 million
2. <b>Assets</b>	More than \$10 million	Less than \$10 million
3. <b>Employees</b>	More than 100	Less than 100
4. <b>Debt</b>	More than \$1 million	Less than \$1 million
5. <b>Equity</b>	More than \$1 million	Less than \$1 million
6. <b>Complexity</b>	More complex	Less complex
7. <b>Industry</b>	More volatile	Less volatile
8. <b>Geography</b>	More international	Less international
9. <b>Regulation</b>	More regulated	Less regulated
10. <b>Compliance</b>	More complex	Less complex
11. <b>Reporting</b>	More complex	Less complex
12. <b>Disclosure</b>	More complex	Less complex
13. <b>Transparency</b>	More complex	Less complex
14. <b>Accounting</b>	More complex	Less complex
15. <b>Internal Control</b>	More complex	Less complex
16. <b>External Audit</b>	More complex	Less complex
17. <b>Financial Statement</b>	More complex	Less complex
18. <b>Management Discussion</b>	More complex	Less complex
19. <b>Notes to Financial Statement</b>	More complex	Less complex
20. <b>Other Information</b>	More complex	Less complex

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
101	710	201	355	Utility filing fee
106	320	206	160	Design filing fee
107	490	207	245	Plant filing fee
108	710	208	355	Reissue filing fee
114	150	214	75	Provisional filing fee

**Fee Paid**

<b>SUBTOTAL (1)</b>	<b>(\$)</b>	<b>0.00</b>
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## 2. EXTRA CLAIM FEES

		Extra Claims		Fee from below	Fee Paid
Total Claims	<input type="text"/>	-20** = <input type="text"/>	X	<input type="text"/>	= <input type="text"/>
Independent Claims	<input type="text"/>	- 3** = <input type="text"/>	X	<input type="text"/>	= <input type="text"/>
Multiple Dependent				<input type="text"/>	= <input type="text"/>

**Large Entity   Small Entity**

Large Entity Fee Code	Small Entity Fee Code	Fee (\$)	Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

<b>SUBTOTAL (2)</b>	<b>(\$)</b>	<b>0.00</b>
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**\*\*or number previously paid, if greater; For Reissues, see above**

\*Reduced by Basic Filing Fee Paid

Complete (if applicable)

SUBMITTED BY

Name (Print/Type)

WARREN D. SCHICKLI

Registration No. \_\_\_\_\_  
 (Attorney/Agent)

31.057

Telephone

**859-252-0889**

**Signature**

Armen Schutte

**Date**

8/14/00

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

**Burden Hour Statement:** This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.**



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/865,175	05/24/2001	Thomas Tobin	434-226

001009  
KING & SCHICKLI, PLLC  
247 NORTH BROADWAY  
LEXINGTON, KY 40507

CONFIRMATION NO. 3002

## FORMALITIES LETTER



\*OC000000006348043\*

Date Mailed: 07/26/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

08/21/2001 MBELETE1 00000038 110978 09865175

01 FC:205

65.00 CH